

06-28-04
PART B - FEE(S) TRANSMITTALComplete and send this form, together with applicable fee(s), to: **Mail****Mail Stop ISSUE FEE**
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CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

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03/26/2004

REINHART BOERNER VAN DEUREN S.C.
ATTN: LINDA GABRIEL, DOCKET COORDINATOR
1000 NORTH WATER STREET
SUITE 2100
MILWAUKEE, WI 53202

Express Mail,
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Rodney D. DeKruif

(Depositor's name)

Rodney D. DeKruif

(Signature)

June 25, 2004

(Date)

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/895,866 | 06/29/2001 | Sankar Sambasivan | 5991 | 5367 |

TITLE OF INVENTION: CONDUCTIVE AND ROBUST NITRIDE BUFFER LAYERS ON BIAXIALY TEXTURED SUBSTRATES

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES | \$665 | \$0 | \$665 | 06/28/2004 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|------------------|----------|----------------|
| COOKE, COLLEEN P | 1754 | 505-237000 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Reinhart Boerner Van Deuren
S.C.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Applied Thin Films, Inc.
UT-Battelle, LLC

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Evanston, Illinois
Oak Ridge, Tennessee

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation and ☐ other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☐ Publication Fee
☐ Advance Order - # of Copies _____

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- ☒ A check in the amount of the fee(s) is enclosed.
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☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 18-0882 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

Reg. No. 35,853 June 25, 2004

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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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I hereby certify that this correspondence is being deposited with the United States Postal Service Express Mail No. EV 531799054 US, under 37 C.F.R. 1.8 on the date indicated below and is addressed to Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 25, 2004.

PATENT APPLICATION

By: Rodney D. DeKruif
Rodney D. DeKruif
Date: June 25, 2004

Attorney's Docket No. 5991

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sambasivan et al.

Serial No: 09/895,866

Group No.: 1754

Filed: June 29, 2001

Confirmation No. 5367

Examiner Name: Colleen P. Cooke

For: CONDUCTIVE AND ROBUST NITRIDE BUFFER LAYERS ON BIAXIALLY TEXTURED SUBSTRATES

Allowed: **March 26, 2004**

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF PAYMENT OF ISSUE FEE (37 CFR 1.311)

1. Applicant hereby pays the issue fee for the attached Issue Fee Transmittal PTOL-85.

2. Fee (37 CFR 1.18(a) and (b))

Application status is:

| | <u>Regular</u> | <u>Design</u> |
|--|----------------|-----------------------------------|
| <input checked="" type="checkbox"/> small business entity- fee | \$ 655.00 | <input type="checkbox"/> \$235.00 |
| <input type="checkbox"/> other than a small entity - fee | \$1,300.00 | <input type="checkbox"/> \$470.00 |
| <input type="checkbox"/> publication fee | \$ 300.00 | |

3. Payment of fee

☒ Enclosed please find a check in the amount of \$665.00

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☐ Charge Account _____ the sum of \$ ____.

A duplicate of this request is attached.

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Reg. No.: 35,853
Tel. No.: (414) 298-8360

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